

01-31-05

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25213 XXX

12/27/2004

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 Warren M. Hames II  
 JONES, DAY, REAVIS & POGUE  
 NORTH POINTE LAKESIDE AVENUE  
 CLEVELAND, OH 44114

Heller Ehrman White & McAuliffe LLP  
 275 Middlefield Road  
 Menlo Park, CA 94025

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Rachel Mena

(Depositor's name)

*Rachel Mena*  
 January 28, 2005

(Signature)

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/777,168

02/05/2001

Thomas W. Furphy

876229600002

2452

TITLE OF INVENTION: METHOD AND SYSTEM FOR PROCESSING TRANSACTIONS

02/02/2005 DENMANU2 00000008 081641 09777168

01 FC:2501

700.00 DA

02 FC:1504

300.00 DA

03 FC:8001

9.00 DA

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	03/28/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
FISCHETTI, JOSEPH A	3627	705-030000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☒ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 Heller Ehrman White &  
 2 McAuliffe LLP  
 3 \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

NOTIVA CORPORATION

Rochester, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies 3

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## 5. Change in Entity Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Date January 28, 2005Typed or printed name Hao Y. TungRegistration No. 43,209

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

3

Application Number 09/777,168

Filing Date 02/05/2001

First Named Inventor Thomas W. Furphy

Art Unit 3627

Examiner Name Fischetti, Joseph A.

Attorney Docket Number 40877-1002 (new)

**ENCLOSURES (Check all that apply)**☐ Fee Transmittal☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Reply to Notice to Comply/  
Incomplete Application☐ Copy of Notice to File  
Missing Parts☐ Power of Attorney and Correspondence  
Address Indication Form☐ Statement Under 37 CFR 3.73(b)  
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Provisional Application  
☐ Declaration and Power of Attorney  
for Patent Application☐ Terminal Disclaimer☐ Request for Refund☐ Diskette, Number of Diskette(s) \_\_\_\_\_☐ Sequence Listing on Diskette☐ Statement Under 37 CFR 1.821-1.825☐ Appeal Communication to Board  
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Hao Y. Tung

Date

January 28, 2005

Reg. No.

43,209

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Typed or printed name

Rachel Mena

Date

January 28, 2005

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